## SEC Form 4

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| 16 | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|----|--------------------------|-----------|--|--|--|--|--|--|--|--|
|    | Estimated average burden |           |  |  |  |  |  |  |  |  |
|    | hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add<br>Feifer Rich | dress of Reporting<br>nard   | g Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>InnovAge Holding Corp. [ INNV ]  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |  |  |  |  |  |  |  |
|--------------------------------|--|-----------------------|--|--|--|--|--|--|--|--|--|
| (Last)<br>8950 E. LOW          | (First)<br>/RY BOULEV  | (Middle)              | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/06/2024   | Officer (give title Other (specify below) below) CHIEF MEDICAL OFFICER                           |  |  |  |  |  |  |  |
|                                |  |                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line)                                      |  |  |  |  |  |  |  |
| (Street)                       |  |                       |  | Form filed by One Reporting Person   |  |  |  |  |  |  |  |
| DENVER                         | СО   | 80230                 | _  | Form filed by More than One Reporting<br>Person  |  |  |  |  |  |  |  |
| (City)                         | (State)  | (Zip)                 | Rule 10b5-1(c) Transaction Indication  |  |  |  |  |  |  |  |  |
|                                |  |                       | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |  |  |  |  |  |  |  |
|                                | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                       |  |  |  |  |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | if any |                         |   |        |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|--------|-------------------------|---|--------|---------------|--------|---|---|---|
|                                 |  |        | Code                    | v | Amount | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock, \$0.001 par value | 06/06/2024                                 |        | <b>F</b> <sup>(1)</sup> |   | 8,023  | D             | \$4.83 | 235,211   | D |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Ex |     | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------|-----|-------------------------------------|--------------------|---|--|--|--|--|
|   | Security  |  |   |                              |   |       |     |                                     |                    |   |  |  |  |  |
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable                 | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |  |  |

Explanation of Responses:

1. Represents shares withheld to satisfy the Reporting Person's tax obligations in connection with the vesting and settlement of restricted stock units.

**Remarks:** 

## /s/ Nicole D'Amato, by Power

of Attorney

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

06/07/2024